

EC397 U.S. PTO  
02/02/01UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. C1037/7013(HCL/MAT)

First Named Inventor or Application Identifier

BRATZLER, Robert L.

Express Mail Label No. EL711263015US

Date of Deposit February 2, 2001

PTO  
63/116379  
02/02/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total pages 85]  
80 - pages description  
1 - pages abstract  
4 - pages claims 36 - Total claims
3. ☐ Drawing(s) (35 USC 113) [Total sheets ☐  
☐ Informal ☐ Formal [Total drawings ☐
4. ☐ Oath or Declaration [Total pages ☐  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference  
(usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS Box Patent Application  
TO: Commissioner for Patents  
Washington, DC 20231

6. ☐ Microfiche Computer Program (Appendix)
7. ☒ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a. ☒ Computer Readable Copy  
b. ☒ Paper Copy (identical to computer copy)  
c. ☒ Statement verifying identity of above copies

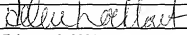
## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers/cover sheet & documents(s)
9. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)  
☐ Power of Attorney
10. ☐ English Translation of Document (if applicable)
11. ☐ Information Disclosure Statement PTO-1449  
☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity Statement(s)  
☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. Other: This application claims priority under Title 35 §119(e), of United States Provisional Application No. 60/179,991, filed February 3, 2000, entitled IMMUNOSTIMULATORY NUCLEIC ACIDS FOR THE TREATMENT OF ASTHMA AND ALLERGY, the entire contents of which are incorporated herein by reference.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:
- ☐ Cancel in this application original claims of the prior application before calculating the filing fee.
- ☐ Amend the specification by inserting before the first line the sentence:
- This application is a ☐ continuation ☐ divisional of application serial no. , filed , entitled , and now .

18. CORRESPONDENCE ADDRESS					
Correspondence address below					
ATTORNEY'S NAME	Helen C. Lockhart, Reg. No. 39,248				
NAME	Wolf, Greenfield & Sacks, P.C.				
ADDRESS	600 Atlantic Avenue				
CITY	Boston	STATE	MA	ZIP	02210
COUNTRY	USA	TELEPHONE	(617) 720-3500	FAX	(617) 720-2441

19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Helen C. Lockhart, Reg. No. 39,248
SIGNATURE	
DATE	February 2, 2001

**Express Mail No. EL711263015US**  
**Date of Mailing: February 2, 2001**  
**Attorney Docket No: C1037/7013 (HCL/MAT)**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert L. Bratzler et al.  
Serial No.: Not Yet Assigned  
Filed: Herewith  
Entitled: IMMUNOSTIMULATORY NUCLEIC ACIDS FOR THE TREATMENT OF  
ASTHMA AND ALLERGY

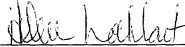
Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

**STATEMENT PURSUANT TO 37 CFR 1.821(f)**

Sir:

This statement is made pursuant to 37 CFR 1.821(f). Applicants enclose herewith a paper copy of the Sequence Listing and a computer readable diskette. Applicants' attorney states that the content of the paper copy of the Sequence Listing and the computer readable diskette contain the identical information.

Respectfully submitted,



Helen C. Lockhart  
Reg. No. 39,248  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617)720-3500

Docket No. C1037/7013 (HCL/MAT)  
Date: February 2, 2001  
**X02/02/01**